01-23-02   PTO/SB/05 (4/98)   𝓐

Please type a plus (+) sign in this box → [+]

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No.	S01.12-0815/STL 10262
(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))	First Inventor or Application Identifier	Srinivas Tadepalli et al.
	Title	DISC DRIVE WITH GROOVES ON INTERNAL SURFACES
	Express Mail Label No.	EV049898252US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17*
   (Submit an original and a duplicate for fee processing)

2. ☒ Specification   [Total Sheets **16**]
   (preferred arrangement set forth below
   - Descriptive title of the Invention)
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D

   - Reference to Microfiche Appendix
   - Background of the Invention

   - Brief Summary of the Invention
   - Brief Description of the Drawings *(if filed)*
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. § 113)   [Total Sheets **3**]

4. Oath or Declaration   [Total Sheets **2**]
   a. ☒ Newly executed (original or copy)
   b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
      (for continuation/divisional with Box 17 completed)

      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting
         inventor(s) named in the prior application,
         see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

   NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program *(Appendix)*

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
   (If applicable, all necessary)
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (Identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement   ☒ Power of Attorney
   (when there is an assignee)

9. ☐ English Translation Document

10. ☒ Information Disclosure   ☐ Copies of IDS
    Statement (IDS/PTO – PTO)

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)

13. ☐ *Small Entity ☐ Statement filed in prior application.
    Statement(s)   Status still proper and desired
    (PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s)
    (if foreign priority is claimed)

15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)

16. ☒ Other: Our checks in the amount of $40.00 and $794.00 ....

17. **If a CONTINUING APPLICATION,** *check appropriate box, and supply the requisite information below and in a preliminary amendment:*

☐ Continuation   ☐ Divisional   ☐ Continuation –in part (CIP)   of prior application No: _____

Prior application information: Examiner _____   Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Theodore M. Magee
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Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature	*Theodore Magee*	Date	1/17/02

FEE TRANSMITTAL

Application No.	
Filing Date	HEREWITH
First Named Inventor	Srinivas Tadepalli
Title	DISC DRIVE WITH GROOVES ON INTERNAL SURFACES
Group Art Unit	
Examiner Name	

Total Amount of Payment $ 794

Atty. Docket Number	S01.12-0815/STL 10262

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
101	740	201	370	☒ Utility Filing Fee
106	330	206	165	☐ Design Filing Fee
108	740	208	370	☐ Reissue Filing Fee
114	160	214	80	☐ Prov. Filing Fee

Subtotal (1) $ 740

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	23	20	3	18	54
Indep.	3	3	0	84	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent Claims
109	84	209	42	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) $ 54

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	280	980	Extension for reply within fifth month	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,310	241	670	Petition to Revive - unintentional	
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) $

Signature _Theodore M. Magee (signature)_
(Theodore M. Magee)

Reg. No. 39,758

Date 1-17-2002

Deposit Account No. 23-1123